Profile

Catherine L. Heron

clheron@aol.com

Work Experience

1998 – June, 2013 Capital Research and Management Company

Served as Senior Vice President, Senior Counsel, Fund Business Management Group, with responsibilities including retirement, tax and securities law issues for Capital Research, the investment advisor to the American Funds

Served as Senior Vice-president, General Counsel for Capital Bank and Trust Company, a federal thrift subsidiary of the Capital Group

Participated in the creation and management of CollegeAmerica, the largest 529 college savings plan in the nation

Executive Vice-President for the American Funds Target Date Retirement Series

1982– 1998 Investment Company Institute

Served as Associate Counsel, Deputy General Counsel and Vice-President for Tax, Pension and International matters for the trade association for the US mutual fund industry

Responsibilities included regulatory and legislative matters affecting the mutual fund industry, with a focus on tax, retirement and securities law issue

1977 – 1982 Associate in Washington DC office of Breed, Abbott and Morgan

Served clients on matters relating to retirement plans as well as labor law matters as diverse as employment discrimination and OSHA

1976 -1977 Tax specialist in the national tax office of Ernst & Young, with a focus on ERISA and retirement plan issues

1972 – 1976 Attorney in Solicitor's Office of the US Department of Labor

Served as Special Assistant to the Solicitor

Worked on ERISA legislation prior to its enactment in 1974

Participated in development of initial regulations implementing ERISA

Other Professional Activities

Member of the Department of Labor's ERISA Advisory Council

Past Chair of the Investment Companies Committee of the Tax Section of the American Bar Association

Member of the Pension Rights Center's "Conversation on Coverage"

Member of the Executive Committee of the Employee Benefits Research Institute (EBRI)

Recently appointed to FINRA Investment Companies Committee

Speaker at numerous conferences and meetings, including the annual Mutual Funds and Investment Management Conference

Education

BA Wellesley College

JD Boston University School of Law

LLM, Taxation, Georgetown University Law School