

Payroll Procedures Manual

Section C
Position Control

Rev. 12/2020



State Controller's Office

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INTRODUCTION

REFERENCES (Revised 03/05)

SAM 8531, 8533

Section C 001: OVERVIEW (Revised 03/05)

Position Control information is maintained within the Payroll System. Position Control Unit maintains the Established Position Roster file and a payroll header file recording all changes to payroll headers. The position file is updated twice weekly and the header file is updated before each daily payroll cycle.

Section C 002: REPORTS (Revised 03/95)

Based on the above files, numerous monthly and annual reports are prepared for agency/campus use as well as for Department of Finance (DOF) and the Legislature. Other reports related to position expenditures may be prepared as requested by any agency/campus for specific needs.

Section C 003: POSITIONS (Revised 03/05)

All established positions must have DOF approval (or delegated approval) before appointment of an employee to a position. Agencies SHOULD NOT submit a PAR that authorizes SCO to make an employee salary payment from an unapproved position.

PPSD does not verify that an approved established position is available prior to processing personnel documents. This is the responsibility of each agency/campus.

Section C 004: CHANGE IN ESTABLISHED POSITIONS (Revised 03/05)

Change in Established Positions, form STD. 607 (available on DGS web site or from DGS Stores), is used to establish and make changes to positions. See PPM C 300 for completing a form STD. 607.

Route all copies directly to DOF, Budget Division, if their approval is required. If DOF has delegated approval authority, the form STD. 607 should be submitted directly to Payroll Operations.

Submit copies to DOF together with a copy of Request for Certification, form STD. 625, when required.

NOTE: DOF should not change the time base (increase or decrease) on the STD. 607. If there is to be a change in time base in order to gain approval return the document to the agency. The agency will make all the necessary changes and will resubmit the document to DOF for approval.

Do not submit an advance copy of form STD. 607 to Payroll Operations. No action will be taken until the document is approved by DOF or by an agency representative to whom authority is delegated.

To ensure the processing of form STD. 607's in time to be reflected on the annual budget reports, the documents must be received IN PAYROLL OPERATIONS no later than June 15 of the closing fiscal year. If DOF approval is required, the documents must be submitted to them PRIOR to June 15 in order to be forwarded and received in PPSD no later than June 15.

The established position file is maintained for the current plus one prior fiscal year only. Agencies SHOULD NOT submit form STD.607's to temporarily encumber/disencumber a position or correct documents that affect fiscal years previous to the current plus one prior fiscal year criteria. If submitted, they will be returned.

Section C 005: AGENCY CERTIFICATION FOR CONTINUED PAYMENT FROM ESTABLISHED POSITIONS (Revised 03/95)

[SAM 6521](#)

It is the responsibility of each department to certify to the Department of Finance that existing positions established on the State Controller's Office (SCO) position roster, as amended by appropriate department payroll and position documents (STD. 607), reflect the approved program.

AGENCY CODES

Section C 100: INTRODUCTION (Revised 03/18)

A payroll agency code is a three-digit code that identifies the department and the fund from which salaries are paid. An agency/campus can have more than one code as long as they also have more than one fund. Agency name change does not normally require a new agency code.

A new agency code should be requested when establishing the following:

1. New agency
2. New department of existing agency
3. 10/12 agencies, except CSU
4. Special payroll procedures (e.g., biweekly payroll)

New agency code numbers will not be given over the telephone. A written request to PPSD, SACS Unit, is required for anything that affects agency codes such as:

1. New codes
2. Transferring personnel & payroll duties
3. Address change
4. Name change
5. Agency abolishment

If any questions, please contact Chuck Lucas (916) 323-6019 or email: clucas@sco.ca.gov.

Agency/campus will receive written notice from PPSD when the agency code is assigned.

Mail request to:

State Controller's Office Personnel/Payroll Operations Bureau SACS Unit
P.O. Box 942850
Sacramento, CA 94250-5878

The following information must be included in the written request:

1. New agency code

- Name of agency; state if it will be under an existing department
- Fund name (i.e. General Fund)
- Chapter number or other legislative authorization (include a copy of the budget act, assembly/senate bill, etc.)
- California Fiscal Information System (CFIS) code (except CSU) also known as org code
- State if it is a shift agency or not List any out-of-state reporting units Number of employees
- State the employee retirement codes to be used
- Appointing authority
- State if it is a 10/12 agency (except CSU)
- Contact person's name and telephone number for both the departmental personnel office and accounting offices
- Physical and mailing address

2. Abolished agency

- Name of agency
- Agency code
- Effective date of abolishment

3. Transferring personnel and payroll duties

- Name of agency
- Agency code
- Name of agency being transferred
- Agency code of agency being transferred
- Contact information
- Effective date

4. Agency name change

- Current agency name
- New agency name
- Agency code
- Chapter number or other legislative authorization
- Effective date

5. Address change

- Name of agency
- Agency code
- Current physical address
- Current mailing address (if different)
- New physical address
- New mailing address (if different)
- Effective date of change
- Contact information

PAYROLL HEADERS

Section C 200: DESCRIPTION (Revised 03/05)

A Payroll Header contains the following:

- Fiscal Year
- Payroll Agency Code
- Reporting Unit Code
- Budget Function Code
- Uniform Account Code
- Budgetary Description

This information is printed on warrant registers, attendance reports, and other payroll reports and is used for fund/appropriation transfers of payroll amounts. A payroll HEADER is ACTIVE for only one fiscal year. A FORM PR421 OR A NEW HEADER, STD. 407, (available on DGS web site or from DGS Stores). MUST BE SUBMITTED EACH FISCAL YEAR.

The payroll HEADER FILE contains the above information for the current and two prior fiscal years, as well as the establishment/abolishment effective dates and the budget function codes, where applicable.

Payments will suspend for any pay period:

- Prior to the effective date the Payroll Header is established.
- After the effective date the Payroll Header is abolished or deleted.

Payments will automatically release when headers are established.

Section C 201: ASSIGNMENT OF CODES (Revised 06/97)

PPSD, SACS Unit, assigns the agency code; Division of Accounting assigns the Uniform Account Code; the agency assigns the Reporting Unit, Budget Function Code, if used, and the Budgetary Description. Budgetary/funding information must reflect information provided by legislation, such as the budget act. Questions concerning this information should be directed to the Division of Accounting.

Section C 202: SPECIAL HANDLING FOR HEADERS (Revised 03/05)

A list of Payroll Headers can be produced upon written request from an agency/campus. The request, directed to Payroll Operations, Position Control Unit, must include agency code(s) and fiscal year(s) needed. Send request to:

State Controller's Office
Personnel/Payroll Operations Bureau
Position Control Unit- Attn: Payroll Officer
P.O. Box 942850
Sacramento, CA 94250-5878

NOTE: Whenever a department adds or changes out-of-state reporting units, during the year on a form PR407, contact the supervisor of Position Control Unit, Payroll Operations to ensure correct state tax withholding is initiated and/or maintained. Please refer to PPM Section H 112-114 for more information on taxation of employees working and residing in a state other than California.

Mass reorganizations of positions/employees must be coordinated with PPSD. Advance notice of at least one month prior to the effective date is necessary if position roster/employment history listings are required by an agency/campus. Contact the supervisor of the Position Control Unit, Payroll Operations.

Section C 203: CHANGES TO PAYROLL HEADERS (Revised 03/05)

After the annual header update is completed, all header changes must be submitted on "PAYROLL HEADER CHANGE," form STD. 407 (available on DGS web site or from DGS Stores).

Form STD. 407 (revised 4/97) is used for the following types of header transactions:

- **Establish** – to establish a new payroll header or a payroll header that was deleted in error. Effective date is the beginning of the pay period.
- **Change** – to change the budget function code or payroll header description on an established payroll header. Do not use the "Change" transaction to change the agency and/or reporting unit. Delete the established header and establish a new header. Only SCO Accounting makes uniform account code changes. Effective date is the beginning of the pay period.

Header changes for effective date, agency code, or reporting unit requires two forms STD. 407 (revised 4/97), one form to delete the existing header and one to establish the correct header information. Submit as a package for processing before the 10th of the month.

- **Abolish** – to abolish a payroll header (Funds were/will be paid prior to the abolishment Date). Abolishment date is effective at the close of the pay period for the date shown on the STD. 407 (revised 4/97). Complete all payroll transactions before abolishing the payroll header. An abolishment retains a record of the header up to the close of the pay period for the abolishment effective date shown on STD. 407 (revised 4/97).

- **Re-establish Abolished Header** – to re-establish a header which was previously abolished within the SAME fiscal year. Re-establish date is effective at the beginning of the pay period for the date shown on the STD. 407 (revised 4/97).

EXAMPLE: To re-establish a header abolished with the 10/04 pay period, the effective pay period would be 11/04 or later.

- **Delete** – to delete a payroll header established in error. Header will be completely removed from the Payroll Header File. Delete a header when NO FUNDS are paid or funds that were paid have been transferred out of the erroneous header (agency reporting unit). Effective date must match the original effective date.

NOTE: Abolishing a header and deleting a header are not the same. Abolish a header when funds were/will be paid prior to the abolishment date. A delete completely removes the header from the Payroll Header file.

Section C 204: COMPLETION OF FORM STD. 407 [revised 4/97] (Revised 03/05)

ALL FORMS STD. 407 (revised 4/97) ARE TO BE TYPED OR CLEARLY PRINTED. Complete as follows:

Item Identification

1. Ending Fiscal Year (CCYY) – enter the fiscal year which corresponds with the effective/abolishment date (i.e., if the effective date is 09/04, the fiscal year would be 2005)
2. Payroll Agency Code
3. Reporting Unit Code
4. Budget Function Code – Complete if applicable, (CSU only)
5. Effective/Abolishment Date (CCYMMM) – enter the pay period month and year the header is to be effective per the transaction type (e.g., 201407). See PPM C 203.
6. Transaction Type – place an "X" in the appropriate box for the requested type of transaction
7. Type Change/Line Number – leave blank. This item is to be completed by Payroll Operations

PAYROLL HEADER DESCRIPTION – Budgetary descriptions are limited to seven lines with a **maximum of 30 characters** (including spaces and punctuation) per line. Description lines should include the following:

- Name of the agency
- Institution name, if applicable
- Fund name
- Budget item or appropriation data
- Program or category
- Additional information may be added (e.g.; unit, section, etc.)

See sample below:

Department of Corrections	(Mandatory)
Folsom State Prison	(Mandatory)
Administration	(Optional)
General Fund	(Mandatory)
Item 267 Budget Act of 1994	(Mandatory)

NOTE: Line 1 must show the agency name. DO NOT SKIP LINES.

REMARKS – to be completed for a CHANGE transaction or for special processing instructions. List all changes being made or other instructions such as stating “Out of State Header” to ensure proper processing.

EXAMPLE:

Current Header Description (on file)	Header Description (on STD. 407)
1. Department of California	1. Department of California
2. Administration	2. Administration
3. San Francisco Branch	3. Sacramento Branch
4. General Fund	4. General Fund
5. Item 9999-999-9999 B/A 1997	5. Item 9999-999-9999 B/A 1997
6	6.
7.	7.

Remarks would read: Line 3 changing to read Sacramento Branch

Current Header Description (on file)	Header Description (on STD. 407)
1. Department of California	1. Department of California
2. Administration	2. Administration
3. San Francisco Branch	3. Executive Office
4. General Fund	4. Sacramento Branch
5. Item 9999-999-9999 B/A 1997	5. General Fund
6	6. Item 9999-999-9999 B/A 1997
7.	7.

Remarks would read: Adding information at Line 3 and changing Lines 4 through 6.

Authorized Signature/Date/Telephone Number – must be completed.

Section C 205: HEADER CANCELLATION (Revised 03/05)

Abolishing a header and deleting a header are not the same.

Abolishing a header only cancels the header from the pay period following the effective date shown on STD. 407 (revised 4/97), retaining a record of the header up through the close of the pay period for the abolishment effective date. Abolish a header if funds were/will pay prior to the abolishment date.

Deleting a header cancels the entire header and completely removes the header from the Payroll Header File. Delete a header when it was established in error and NO FUNDS are paid or funds that were paid are transferred out of the erroneous header (agency/reporting unit).

To Abolish or Delete a header, complete items 1 - 6 on Identification side and line 1 only on Description side of form STD. 407 (revised 4/97).

NOTE: In remarks section of form STD. 407 (revised 4/97) indicate "This Is To Abolish/Delete Original form STD. 407." Abolishments are effective end of pay period per the effective date. Re-establishments are effective the beginning of the pay period per the effective date.

Section C 206: ANNUAL PROCESS - HEADER PROCEDURES (Revised 03/05)

Each May, Payroll Operations sends out an annual update of existing Payroll Headers, "Payroll Header Report," form PR 421. This report, a listing of Payroll Headers for the current fiscal year, is to assist agencies/campuses in the establishment of headers for the new fiscal year.

NOTE: Any header established after the "Payroll Header Report" forms PR421 are generated, will require a new form STD. 407 (revised 4/97) to reestablish for the new fiscal year.

Three copies of the report are printed. The original and one copy are sent to the agency/campus, while the third copy is retained in Payroll Operations. After the report is updated, the original report is returned to Payroll Operations by the date specified in the instructional letter which accompanied the forms PR 421 (typically May 25 is the due date). The agency/campus will retain the updated second copy of the PR 421.

NOTE: Payments for the new fiscal year will suspend if the PR 421 is not returned by the stated deadline.

If a department is having a mass reorganization of positions/employees and the information is not available in time to complete and return the form PR 421 by the deadline, contact the supervisor, Position Control Unit.

The form PR 421 will only establish a new fiscal year Payroll Header File. It will not automatically create a transfer of positions or employees, nor will it update mailing addresses. Certified change documents, form STD. 607, and PAR must be used if such changes are needed. See Section A for instructions to update mailing address.

Annual Form PR421 Completion Instructions:

1. On the right side of each Form PR421, under the heading "CURRENT HEADER DESCRIPTION," the prior fiscal year description will be printed with the Item Line showing the new Budget Act Year Information. The left side under the Heading "CHANGES" will be blank. This is where you will make any changes or Deletions to ONLY the existing Payroll Headers.
2. Complete the information under "New Header Description" ONLY if description is changing (budget year changes are done automatically, do not rewrite). Do not rewrite description if there is no change.
3. When deleting the entire Payroll Header, you must draw a diagonal line through the "CURRENT HEADER DESCRIPTION."
4. If you are adding a new Payroll Header, DO NOT utilize Form PR421. New Payroll Headers can only be added via STD. Forms 407 – Payroll Header Change (see PPM C 204 for STD Form 407 completion instructions).
5. The preprinted data on Forms PR421 DO NOT reflect new or changes in the current fiscal year Payroll Headers processed after the close of the April business month. If Payroll Headers, which will automatically terminate June 30th each year, should be re-established for the upcoming fiscal year and are not on the Forms PR421, you must submit STD. Forms 407 (see PPM C 204 for STD. Form 407 completion instructions).
6. Entries on Forms PR421 must be typed or clearly printed, as they are "key entry" documents.
7. Description lines cannot exceed 30 characters per line (including spaces).
8. To establish conformance for all Payroll Headers, the last three lines of the Payroll Header description must show Funding Source, Item Number (when Applicable) and Program Number (when applicable).
9. *When changing the reporting unit*, the Payroll Header must be deleted on Form PR421 and a new Payroll Header must be established via Std. Form 407 (see PPM C 204 for Std. Form 407 completions instructions).
10. If the agency/reporting unit is for **OUT-OF-STATE**, a notation must be made in the blank area under the agency/reporting unit on the STD. Form 407 or Form PR421.
11. Ensure that the Authorized Signature line on the first Form PR421 for each different agency code is signed and the contact person and phone number are completed on each Form PR421.
12. All *Original Forms PR421* must be returned even if the agency is being abolished or no changes are made. Please keep copies for your records.

All Forms PR421 MUST BE RETURNED BY THE "END OF MAY" DEADLINE set forth in the cover letter sent to all Agencies/Campuses in the Uniform State Payroll System during the fiscal year end process. They must be returned to:

State Controller's Office
Personnel/Payroll Services Division
Attn: Position Control Unit
P.O. Box 942850 Sacramento, CA 94250-5878

Funding Source information *must not* be changed. These types of changes require the assignment of new agency codes by Personnel/Payroll Services Division. To assign new agency codes, please see PPM C100.

For questions regarding Payroll Header description lines (last three lines only), either preprinted or to be entered, please contact Division of Accounting and Reporting at (916) 322-8328 or send an email to PayrollAccounting@sco.ca.gov.

Should you have any question regarding the completion of Forms PR421, please contact Position Control at (916) 372-7200 or send an email to PPSDPositionControl@sco.ca.gov.

Section C 208: ANNUAL PROCESS - TO ABOLISH A REPORTING UNIT OR ENTIRE AGENCY (Revised 03/05)

Place a line through the information shown in the items identified as "AGY", "REPT UNIT," "B.F.," "EFF. DATE," and mark a diagonal line through the preprinted description lines under "CURRENT HEADER DESCRIPTION."

If the entire agency is being abolished, a letter stating name of agency, agency codes, and effective date of abolishment, *signed by an authorized person, must be attached to the PR 421.*

Section C 209: ANNUAL PROCESS - TO CHANGE THE HEADER (Revised 03/05)

To change a description line, enter the new information in the corresponding line number under "CHANGES" (see sample below).

New Header Description	Current Header Description
1.	1. Department of California
2.	2. Administration
3. San Francisco Branch	3. Sacramento Branch
4.	4. General Fund
5.	5. Item 9999-999-9999 B/A 1997
6.	6.
7.	7.

Adding or deleting lines may change the sequencing number of the description lines. When a line is deleted, all subsequent lines must be brought up. When a line is added, all subsequent lines must be moved down (see examples below).

Adding:

New Header Description	Current Header Description
1.	1. Department of California
2.	2. Administration
3. Executive Office	3. Sacramento Branch
4. San Francisco Branch	4. General Fund
5. General Fund	5. Item 9999-999-9999 B/A 1997
6. Item 9999-999-9999 B/A 1997	6.
7.	7.

Deleting:

New Header Description	Current Header Description
1.	1. Department of California
2.	2. Administration
3. San Francisco Branch	3. Decentralization
4. General Fund	4. Sacramento Branch
5. Item 9999-999-9999 B/A 1997	5. General Fund
6. Program A	6. Item 9999-999-9999 B/A 1997
7.	7. Program A

To CHANGE a reporting unit, delete header from the PR 421 by lining out "AGY," "REPT UNIT," "B.F.," "EFF DATE" and mark a diagonal line through the preprinted description and under "CURRENT HEADER DESCRIPTION." Then complete a STD. 407 (revised 4/97) for new reporting unit per instructions in PPM C 204.

Section C 210: ANNUAL PROCESS - TO ADD A NEW HEADER (Revised 03/05)

New headers or headers not listed on the preprinted form PR 421 must be submitted on a form STD. 407 (revised 4/97) (DO NOT add to blank spaces on form PR 421). Complete per instructions in PPM C 204.

ESTABLISHED POSITIONS

REFERENCES (Revised 03/20)

SAM 8531, 8533

Section C 300: INTRODUCTION (Revised 12/85)

An established position is a specific staff assignment defined and funded in the state budget. The unit of budgetary allotment for an established position is the "personnel-month" which is equivalent to one month's salary at full time.

Section C 301: POSITION NUMBER (Revised 03/05)

A 13-digit number identifies established positions which consists of:

- Agency code
- Reporting unit code
- Class code
- Serial number

Section C 302: AGENCY CODE (Revised 12/85)

Three digits identify:

- Fund from which salaries are payable
- Agency, institution or other major subdivision
- High risk PERS groups (e.g., PERS Safety Members)

Section C 303: REPORTING UNIT (Revised 12/85)

Three digits identify:

- The appropriation or account within the agency fund group from which salaries are payable.
- May also identify the function, location, or attendance reporting station of positions and employees. Agencies/campuses assign Reporting Unit Codes to conform to the organizational structure.

Reporting unit codes control the arrangement of information on warrant registers, attendance reports, and other payroll reports. It identifies fund or appropriation transfers on reports, and assists in distribution of payrolls.

The same reporting unit code should not be used to identify different budgetary descriptions in two consecutive years. If possible, change the reporting unit code.

Section C 304: CLASS CODE (Revised 03/05)

Four digits identify the class code:

Class Type	Salary-Setting Authority
Civil Service	DPA
Maritime Academy (Exempt)	DPA
Finance Exempt/Statutory	DPA
Judicial (Exempt & Statutory)	Judicial Council
California State University (Exempt)	CSU, Chancellor's Office (key deck/class code table use).

Salary-setting authorities assign these codes and publish them in pay scales.

Section C 305: INTERCHANGEABLE CLASSES (Revised 06/14)

Interchangeable Classes have been approved by DOF for certain classes to promote the policy of recruiting employees at the entry level and later promoting those qualified to the journeyman level without further action. Form STD. 607 for interchangeable classes will show the class code and title of the primary class (identified by DOF). The established position file will reflect the primary class code and it will not change when the employee's class changes. See PPM C 318 for identification of interchangeable primary class codes.

To request establishment of a new interchangeable class, send a letter to DOF. They will indicate their approval on the letter and forward to Payroll Operations.

Use of interchangeable classes reduces the number of required position documents by permitting recruitment or promotion (per PAR) at any level of the position without submission of form STD. 607 to change the class code of the position.

Interchangeable positions are identified by serial numbers in the 700 and 800 series with the following exceptions:

1. Class code 8397, 8233, and 8235 (California Highway Patrol), is NOT interchangeable, but can use serial numbers 700-899 because more than 699 employees are in one reporting unit.
2. Class code 8232 (Departments of Developmental Services and Mental Health) is always interchangeable for serial numbers 001 - 899.
3. Department of Motor Vehicles uses serial numbers 300-899 for interchangeable positions.
4. Class Code 8253 is interchangeable in serial 001-899 for Mental Health.

Classes included in more than one interchangeable group (e.g., 5156 can be interchangeable with 5142 or 5157) must have unique serial numbers within the same agency and unit so Payroll Operations can correctly apply payments to the established positions.

Section C 306: SERIAL NUMBER (Revised 03/05)

Three digits identify the position within each class in each reporting unit. Serial numbers in the 700 and 800 series are reserved for employees in interchangeable classes (see Section C 306). *Serial numbers in the 900 series are reserved for employees paid from blanket funds and for those in non-controlled agencies.* Agencies assign serial numbers to identify each separate position.

Section C 307: TEMPORARY ENCUMBER/DISENCUMBER (Revised 03/05)

A position may be temporarily encumbered/disencumbered during the current and one prior fiscal year by submitting one form STD. 607.

However, to temporarily encumber/disencumber a position for a period of time that overlaps fiscal years into the next (future) fiscal year special handling is required. Agencies must submit two forms STD. 607 to Payroll Operations, one for each fiscal year effected, each 607 to be submitted during the current fiscal year being effected. For example, if a permanent position is to be temporarily disencumbered to another position from April (current) through August (future), submit a form STD. 607 to temporarily disencumber the position from April through June. At the beginning of July, submit another form STD. 607 to temporarily disencumber the position from July through August.

Section C 308: CHANGE OF TIME BASE (Revised 03/05)

To change the time base of an established position, show *total time* to be added/deleted; *position numbers are not to be duplicated.* DO NOT USE the same position number on both add and delete side of form STD. 607 as this will cause the transactions to reject and the document will be returned to the Agency. Must be shown as a fraction.

EXAMPLE #1: Position is currently 001/002 time base. To increase the position to 003/004 time base, submit form STD. 607 to "add" 001/004 time base.

EXAMPLE #2: Position is currently 001/002 time base. To divide it between two other positions, delete the "from" position at 001/002 (total time - DO NOT show "from" position twice) and add 001/004 to each "to" position, as follows:

<u>Add</u>	<u>Delete</u>
001-1000-001 001/004	001-2000-001 001/002
001-1000-002 001/004	

Section C 309: PERIODIC POSITION CONTROL REPORT MONTHLY (Revised 11/20)

Periodic Position Control (PPC) Report lists each position in which personnel-months expended exceed personnel-months authorized by form STD. 607; i.e., payments were issued from unauthorized positions.

The report is prepared at the close of each business month for release after the first of each month. It is available on View Direct.

Each agency must review the report and take necessary corrective action. Please note that working the PPC will prevent positions from reflecting on the Vacant Position Report during the fiscal year end process. Provide the form STD. 607 document number that pertains to the position. Attach a clear copy of the approved original form STD. 607 with authorized signature, Item 12. Submit Std. 607 for any position that needs to be added or corrected.

Causes of overdraft include:

- Lack of proper documentation: a) time paid exceeds time authorized for a position established by form STD. 607, or b) payments issued from a position not yet established.
- Lump sum payments overlap regular payments from a new appointment.

Corrective action required:

1. For overdrafts resulting from a lack of proper documentation, submit form STD. 607 to:
 - a) add personnel-months,
 - b) establish the position, or
 - c) submit/process PAR to change the position number of the employee paid from the overexpended position
2. For overdrafts resulting from lump sum payments (payment types 3, 4, and 5), submit PAR to transfer lump sum payments to Temporary Help Blankets.

Section C 310: COMPLETION OF FORM STD. 607 FOR ESTABLISHED POSITIONS
(Revised 03/05)

Complete form STD. 607 (available on DGS web site or from DGS Stores) as follows:

IMPORTANT NOTE: If a listing is being prepared, please follow guidelines outlined in PPM C 316.

Item	Description
1	Route To - leave blank. (If submitting a package, number the sequence order of processing in this area; e.g., 1 of 2, 2 of 2).
2	Type of Transaction - check the type of transaction that applies.
3(a)	Fiscal Year - must be completed and year must coincide with transaction effective date.
3(b)	Document Number - must be completed.
10	Description of Duties, Explanation of Necessity, Reason for Abolishment, etc. – enter any necessary justification or explanatory information.
11	Funding Information - Encumbrance Increases/Encumbrance Decreases. Need not be completed if the transaction has no budgetary impact. The accounting officer must certify budgetary information.
12	Certification for the Appointing Power - must be signed by the appointing power or authorized representative.
13	Approved: - cite authority for exemption from DOF review or send to DOF.

Item	Description
4(a)	<p>Effective Date – must be entered. This date is an A.M. or (B.O.B.) date (must be consecutive with item 7a or explained in Item 10 if applicable). Multiple effective dates CANNOT be shown.</p> <p>NOTE: The first of the pay period is not necessarily the first of the month.</p> <p>See STD 640 State Pay Period Calendar. Click on link for calendar access: https://www.documents.dgs.ca.gov/dgs/fmc/pdf/std640.pdf.</p>
4(b)	<p>Expiration Date – must be entered. This date is an afternoon (P.M.) or Close of Business (C.O.B) date (must be the same as 7b or explained in Item 10 if applicable). Multiple expiration dates CANNOT be shown.</p> <p>For permanent establishment of position, show "PERM." To re-encumber a temporarily disencumbered position, enter the date to which the position was disencumbered.</p> <p>EXAMPLE: Position 000-001-1000-001 disencumbered from 6/30/03 to 6/30/04. To re-encumber it effective 8/1/03, complete a FORM STD. 607 to add it back 8/1/03 through 6/30/04, the date the position was originally disencumbered, even if the position is permanent. Only add back what time was disencumbered.</p>
5(a)	<p>Department and Organizational Unit – (Code No.) - enter name and payroll agency code of the department. Only one payroll agency code allowed in this item, cannot be various.</p>
5(b)	<p>Reporting Unit – (Code No.) - enter name and code of attendance reporting unit, if various see PPM C 315.</p>
5(c)	<p>Class Title - (Code No.) – enter title and class code (if interchangeable, show only the primary class code identified by DOF), if various see PPM C 315.</p>
5(d)	<p>Number of Positions – enter the number, not the total value, of positions being established or extended.</p> <p>EXAMPLE: ADDITION TO ROSTER</p> <p style="padding-left: 40px;">000-001-1000-001 Full</p> <p style="padding-left: 40px;">000-002-1000-001 1/2</p> <p>Item 5(d) should be "2" not "1.5"</p>

Item	Description
5(e)	<p>Position Serial Number(s) - (Code No.) – enter the serial number identifying the individual position within the class, if various see PPM C 315.</p> <p>Use serial number 001-699 if non-interchangeable class, 700-899 if interchangeable, except as noted in PPM C 305. Do not submit 607's for serial 900-999.</p>
5(f)	<p>Type of Position - (Code No.) – indicate by code whether position is:</p> <ul style="list-style-type: none"> • Civil service (1) • Exempt (4) • Statutory (7)
6(a)	<p>Time Basis – indicate by check mark, if position is full-time; by fraction, if position is part-time; or various, if multiple positions are listed with both full-time and fractional positions.</p> <p>To establish part-time position, enter the FRACTION, NOT THE DECIMAL EQUIVALENT. Show the lowest common denominator or the denominator on the position roster.</p> <p>If multiple positions are listed on attachment, show the fraction applicable to right of each position serial number.</p> <p>Explain time base increases in Item 10.</p>
6(b)	<p>Salary Rate – enter the proposed rate and check whether monthly, daily, or hourly. If rate is above the minimum, explain in Item 10.</p>
6(d)	<p>Position Justification – form STD. 613 – check the status of form STD. 613. For new positions and reclassifications, enter document number.</p>

Item	Description
7(a)	Effective Date – Must be entered. This date is a P.M. or C.O.B. date (must be consecutive with 4a or explained in Item 10). Multiple effective dates CANNOT be shown. (Be sure the employee has separated or been transferred [PAR] before the position is abolished or disencumbered.)
7(b)	<p>Disencumber To – must be entered. This date is a P.M. or C.O.B. date (must be the same as 4b or explained in Item 10). Multiple or prior fiscal year expiration dates CANNOT be shown.</p> <p>For abolishment of permanent position, show "PERM." EXAMPLE: Position 000-001-1000-001 established with an effective date 7/1/04 and expiration date PERM. To abolish it effective 9/2/04, complete a form STD. 607 to abolish it with effective date 9/1/04 and expiration date of PERM.</p> <p>For abolishment of temporary position, enter the original expiration date of the position (see PPM C307), or if effective dates are not consecutive please explain in Item 1o. To temporarily disencumber a permanent position, the date must be within the current or prior fiscal year.</p> <p>EXAMPLE: Position 000-001-1000-001 established with an effective date 7/1/04 and expiration date 6/30/05. To abolish it effective 9/2/04, complete a form STD. 607 to abolish it with effective date 9/1/04 and expiration date 6/30/05, the original expiration date of the position.</p>
8(a)	Department and Organizational Unit - (Code No.) – enter name and payroll agency code of the department. Only one payroll agency code is allowed in this item, cannot be various.
8(b)	Reporting Unit - (Code No.) – enter name and code of attendance reporting unit, if various see PPM C 315.
8(c)	Class Title - (Code No.) – enter title and class code. If interchangeable, show only the Primary class code established on position roster, if various see PPM C 315.
8(d)	<p>Number of Positions – enter the number, not the total value, of positions being abolished or temporarily disencumbered.</p> <p>EXAMPLE: DELETION FROM ROSTER</p> <p style="padding-left: 40px;">000-001-1000-001 Full</p> <p style="padding-left: 40px;">000-002-1000-001 1/2</p> <p style="padding-left: 40px;">Item 8(d) should be "2" not "1.5"</p>
8(e)	Position Serial Number(s) - (Code No.) – enter the serial number identifying the individual position within the class, if various, see PPM C 315.

Item	Description
8(f)	<p>Type of Position - (Code No.) – indicate by code whether position is:</p> <ul style="list-style-type: none"> • Civil service (1) • Exempt (4) • Statutory (7)
9(a)	<p>Time Base – indicate by check mark, if position is full-time; by fraction, if position is part-time; should be the same as 6a or explained in Item 10. Indicate various, if multiple positions are listed with both full-time and fractional positions.</p> <p>To abolish or disencumber part of a position, enter the fraction NOT the decimal equivalent. Show lowest common denominator or the denominator on the position roster.</p> <p>NOTE: 6A and 9A should be the same fraction except if you are moving two or three positions into one position and vice versa.</p> <p>If multiple positions are listed on attachment, must show the fraction applicable to the right of each position serial number.</p> <p>Explain decreases in time base in Item 10.</p>
9(b)	<p>Salary Rate – enter the rate and check whether monthly, daily, or hourly. If rate is above the minimum, explain in Item 10.</p>
9(d)	<p>Present Status – check present status of position and enter form and document number, if required.</p>

Section C 313: CORRECTING DOCUMENT (Revised 03/05)

Complete form STD. 607 as outlined in PPM C 310-312 with the following cautions:

1. Type or write "CORRECTED COPY" at the top of the document.
2. Complete exactly as original should have been submitted using the same document number followed by an alpha character (e.g., if original document number is 100, first correcting document is 100-A, second correcting document is 100-B).
3. Note in Item 10 the items being corrected and how they are shown on the original document. (e.g. to change 5e to 002 was 001).
4. To cancel, complete exactly as original document and indicate in Item 10 "This is to cancel the original document."

Note: Correcting documents should be:

- Submitted only when original STD. 607 is the last document processed. Submit copies of corresponding STD. 607s if processed after the original request.
- Current and one prior fiscal year correcting documents only.
- Only two correcting documents per original form STD. 607. Additional corrections require a new form STD. 607.
- Changes to the effective/term date with an attachment list are not considered a correcting document.
- Partial correction of a form STD. 607 with attachment list, to the effective date/term date is not considered a correcting document. A correcting document to delete from the original followed by a new form STD. 607 with the correct information or a new form STD. 607 is required to update the Position Roster file.
- When submitting copies be sure to sign and date.

Section C 314: INQUIRING DOCUMENTS (New 11/20)

Copy form STD. 607, type or write Inquiry across the top of the document, as well as in Remarks. Also, state in remarks the date the original was submitted. Documents cannot be processed without authorized signatures and approvals; therefore, get the copies signed again prior to sending. Allow sufficient processing time for the original documents before sending an inquiry.

If more than one position is being established and/or abolished with the same effective term date, an Attachment STD. 607 listing must be done. The listing must be submitted with a cover form STD. 607 (see PPM C 310).

It must have an authorized signature and cannot exceed 50 positions.

Positions cannot be duplicated on add/delete sides.

Attachment 607 list must be uniform with the cover form STD. 607; additions to the roster on the left side, deletions to the roster on the right side. Use Arial or Times New Roman with a 12 or larger font size.

All positions must be completed in column form:

001 1139 003

001 1139 004 **not** 001 1139 003/004

NOTE: The listing should only show the primary class code for positions with an interchangeable serial number. Do not show the entire interchangeable class code grouping.

When a department is planning to:

1. Reorganize during the fiscal year
2. Re-class by pay authority
3. Reconcile Payroll Operations position file with the agency's files

And

the department wishes to receive a listing (either printed or through the File Transfer Protocol (FTP) process) of established positions in the Roster File for use as a turnaround document or aid in preparing form STD. 607, a request must be submitted to the Payroll Operations (see PPM Section Z, Attachment C-1).

The listings are available on a one-time, monthly, or quarterly basis according to the request.

The service charge for providing these listings and FTP (File Transfer Protocol) files is \$65.00. This amount is subject to change since service charges are based on the cost of computer and staff time needed to produce this material.

The following formats are available:

1. Fiscal year, position number and type, time base fraction, and expiration date (if temporary position): Format 3 (See PPM Section Z, Attachment C-2).
2. Personnel-months authorized, in addition to the above information: Format 4 (See PPM Section Z, Attachment C-3).
3. Reclassification format, for use as a turnaround document listing: Positions being reclassified (or reorganized in mid-fiscal-year) are on the right-hand side of the listing, and blank position number fields are on the left-hand side. Complete the blank fields with the new, reclassified position and submit with a cover form STD. 607.

In April each year, PPSD will release a questionnaire to all departments as to whether the department is planning a reorganization effective July 1 of the next fiscal year. If the response of the department is positive, a position roster listing will be AUTOMATICALLY prepared and released in May. No request for such a listing needs to be made and no service charge will be assessed.

Section C 317: REQUEST FORM FOR POSITION ROSTER LISTING/FILE TRANSFER PROTOCOL
(FTP) (Revised 05/10)

The Request for Position Roster Listing (see PPM Section Z, AttachmentC-1) should be completed as follows:

- Date: Date the request is submitted
- From: Name and address of office making request

Selection Criteria:

1. Type of Request: Enter a check mark or "X" in the appropriate box. May request a listing/FTP file on a one-time, monthly, or quarterly basis.
2. Agency Codes: List all agency codes to be included on the listing/FTP file.
3. Class Codes: Complete only if specific class codes are required on the listing/FTP file.
4. Type of Output: Enter a check mark or "X" in the appropriate box. May select a printed listing or, if agency has its own data processing facility, may choose to receive the roster as a FTP file.
5. Printed Listing Information. Complete only if requesting printed listing.
 - a) Page Break: Enter check mark or "X" in appropriate box. May start a new print page with each agency code change when multiple agency codes requested (Option A), or may start a new page each time the reporting unit or budget function changes (Option B). Positions will be in agency code, reporting unit/budget function, class code, serial number order.
 - b) Format: Enter check mark or "X" in appropriate box.

Format 3 gives fiscal year, position number and type, time base fraction, and expiration date (if temporary position). This is on 8-1/2 x 11 paper.

Format 4 gives personnel-months authorized, in addition to the information on Format 3. This is on 11 x 14 paper.

Format 5 is double-sided. One side gives the same information as Format 3 for agencies and/or class codes requested. The other side has blanket position number fields, to be completed per the reclass or mid-year reorganization being done. The completed listing may be submitted with a covering form STD. 607 to be processed as any other form STD. 607 reclass listing. This is on 8-1/2 x 11 paper.

- c) Number of copies: Enter number of copies desired.

6. Method of Delivery

- a) Messenger Pick-up: Enter check mark or "X" in this box if using messenger to pick up tape or listing at the address given. Please give name and telephone number of person to notify when the tape/listing is ready.
- b) Mail: Enter check mark or "X" in this box if desiring to have the listing mailed. Enter mailing address.
- c) File Transfer Protocol File: Provide us the Name, Phone Number and email address of the authorized person who will be retrieving this FTP file.

Billing – Enter name, department, and address of person receiving the bill for this service.

Contact – Enter name and telephone number of person to contact.

Requested By – Person requesting the FTP file or listing must sign and date the request.

Section C 318: INTERCHANGEABLE CLASSES (Revised 12/13)

Class Codes	Sequence Code	Class Titles
<u>0193</u> - 0196*		Assoc. Agric. Economist - Asst. Agric. Economist
<u>1123</u> * - 1441	14	Asst. Clerk - Office Asst. (Gen)
<u>1148</u> - 1379* - 1139		Office Services Supvr. I (Typing) - Office Asst. (Typing) - Office Tech. (Typing)
<u>1382</u> * - 1383	12	Programmer I & II
<u>1418</u> - 1421*		Data Entry Operator - Data Entry Operator Trainer (NOTE: CSU only)
<u>1470</u> * - 1479		Associate Info System Analyst (Spec) - Assist. Information System Analyst
<u>1485</u> * - 1487	19	Printing Trades Spec. Trainee (Gen) - Printing Trades Spec. I (Gen)
<u>1487</u> - 1485* - 1489	19	Printing Trades Spec. I (Gen) - Printing Trades Spec. Trainee (Gen) - Printing Trades Spec. I (Finishing)
<u>1730</u> - 1441* - 1733 - 1123	14	Sr. Account Clerk - Office Asst. (Gen) - Account Clerk II - Asst. Clerk
<u>1733</u> - 1441*	14	Account Clerk II - Office Asst. (Gen)
<u>1920</u> * - 1921		Racing License Tech. I - Racing License Tech. II
<u>1963</u> * - 1964		Financial Aid Analyst - Assoc. Financial Aid Analyst
<u>1973</u> * - 1974		Tax Techn I - Tax Techn II
<u>2116</u> - 2122*	03	Laundry Worker - Launderer Asst.
<u>2117</u> - 2122*	03	Laundry Worker - Launderer Asst.
<u>2119</u> - 2122*	03	Launderer - Launderer Asst.
<u>2162</u> * - 2163		Nutrition consultant II - Nutrition consultant I
<u>2290</u> * - 2287		Teacher (High School General Education) – Teacher (Elementary Multiple Subject)
<u>3126</u> - 3132*	16	Asst. Civil Engr. - Jr. Civil Engr.
<u>3529</u> * - 3528		Hazardous Materials Spec. - Assoc. Hazardous Materials Spec.
<u>3756</u> * - 3754		Engrng. Geologist-Assoc. Engrng. Geologist
<u>3779</u> * - 3781		Asst Health Physicist – Jr. Health Physicist
<u>3786</u> * - 3787		Waste Mgt. Engr. - Assoc. Waste Mgt. Engr.

* Class codes identified with an asterisk (*) are Schedule 8 classes; i.e., vacant positions appear on Schedule 8 with the indicated class codes, and with salary estimates based on the salary rates of that class.

Class Codes	Sequence Code	Class Titles
<u>3799</u> * - 3788 - 3797		Oil & Gas Tech I, II, III
<u>3825</u> * - 3848		Assoc. Sanitary Engr - Sanitary Engr
<u>3856</u> - 3855* - 3824		Assoc. Industrial Hygienist - Asst. Industrial Hygienist - Jr. Industrial Hygienist
<u>3872</u> * - 3873		Air Resources Tech. I - Air Resources Tech. II
<u>4051</u> - 4052 - 5797*	09	Asst. General Counsel I - Asst. General Counsel II -
<u>4146</u> - 4175*	05	Govtl. Auditor II - Auditor I
4159 - 5841*	05	Assoc Mgmt Auditor - Staff Services Mgt Auditor
<u>4179</u> * - 4546	20	Accountant Trainee - Accounting Officer
<u>4244</u> * - 2710		School Facilities Prog. Analyst II - School Facilities Prog. Analyst I
<u>4254</u> * - 4175	05	Health Prog. Auditor II - Auditor I
<u>4287</u> * - 4175	05	Gen Auditor II - Auditor I
<u>4361</u> * - 4362	05	Assoc. Tax Auditor - Tax Auditor
<u>4658</u> - 4685 - 5156	06	Assoc. Risk Analyst - Asst. Risk Analyst - Jr. Staff Analyst
<u>4689</u> * - 4690		Recycling Specialist I, II
<u>4672</u> * - 4663	22	Health Analyst - Assoc. Health Planning Analyst
<u>5142</u> - 5156* - 5157	06	Assoc. Personnel Analyst - Jr. Staff Analyst (Gen) - Staff Services Analyst (Gen)
<u>5157</u> * - 5156	06	Staff Services Analyst (Gen) - Jr. Staff Analyst (Gen)
<u>5161</u> - 5160*		Personnel Tech. II Spec. - Personnel Tech. I
<u>5171</u> * - 5170		Telecomm. Systems Analyst II - Telecomm. SystemAnalyst I
<u>5197</u> - 5157*	06	Training Officer I - Staff Services Analyst
<u>5237</u> * - 1820		Legal analyst - Legal Asst
<u>5266</u> - 5267* - 5268*		Staff Finance Budget Analyst - Assoc Finance budget Analyst - Assistant Finance Budget Analyst
<u>5270</u> * - 5271 - 5273		Prin Prog Budget Analyst 1 - Prin Prog Budget Analyst II - Prin Prog Budget Analyst III
<u>5278</u> - 5256*		Mgt. Services Tech. - Mgt. Services Asst.
<u>5284</u> - 5157*	06	Assoc. Budget Analyst - Staff Services Analyst (Gen)
<u>5306</u> - 5156*	06	Asst. Adm. Analyst - Jr. Staff Analyst (Gen)

* Class codes identified with an asterisk (*) are Schedule 8 classes; i.e., vacant positions appear on Schedule 8 with the indicated class codes, and with salary estimates based on the salary rates of that class.

Class Codes	Sequence Code	Class Titles
<u>5393</u> - 5156* - 5157	06	Assoc. Govtl. Program Analyst - Jr. Staff Analyst (Gen) - Staff Services Analyst (Gen)
<u>5426</u> - 5432*		Financial and Performance Evaluator II - Financial and Performance Evaluator I
<u>5731</u> * - 5729		Research Analyst II (Gen) - Research Analyst I (Gen)
<u>5732</u> * - 5859		Research Analyst II (Soc/Behavioral) - Research Analyst I (Soc/Behavioral)
<u>5753</u> * - 5751 - 5752 - 5797-5754	09	Deputy Legis. Counsel I - Deputy Legis. Counsel III - Deputy Legis. Counsel II - Legal Counsel - Graduate Legal Asst.
<u>5841</u> * - 4159		Staff Services Mgt. Auditor - Assoc. Mgt. Auditor
<u>5996</u> - 5797*	09	Research Atty. - Graduate Legal Asst. (NOTE: Judicial Council only)
<u>6220</u> - 1510*	09	Warehouse Worker - Service Asst. (Warehouse & Stores)
<u>6272</u> * - 6273		Board Counsel I - Board Counsel II, ALRB
<u>6898</u> * - 6917		Auto Pool Attendant I - Service Asst. Auto
<u>6992</u> * - 6991		Seismological - Instrument Tech
<u>7954</u> * - 7956		Microbiologist *I - Microbiologist Intern
<u>8094</u> * - 8170		(Safety) - Pre-registered Nurse
<u>8144</u> - 8143*		Nurse Evaluator II, Health Services -Nurse Evaluator I, Health Services
<u>8213</u> * - 8210		Public Health Nurse I - Public Health Nurse II
<u>8232</u> - 8235* - 8233		Psychiatric Tech. - Psychiatric Tech. Trainee - Pre-License Psychiatric Tech.
<u>8253</u> * - 8252 - 8254		Sr. Psychiatric Tech - Psychiatric Tech - Pre-licensed Psychiatric Tech
<u>8337</u> * - 4672	22	Assoc. Health Prog. Adviser - Health Analyst
<u>8345</u> - 8346*		Public Health Asst. II - Public Health Asst. I
<u>8392</u> - 5365*		Disability Eval Analyst II - Disability Eval Analyst
<u>8451</u> * - 8450		Genetic Disease Prog Spec II - Genetic Disease Spec I
<u>8553</u> - 8554*	21	Special Investigator I - Investigator Asst.
<u>8625</u> - 8624*		Sr. Child Support Analyst - Child Support Specialist
<u>8778</u> * - 8777		Deputy Commissioner I - Deputy Commissioner II

* Class codes identified with an asterisk (*) are Schedule 8 classes; i.e., vacant positions appear on Schedule 8 with the indicated class codes, and with salary estimates based on the salary rates of that class.

Class Codes	Sequence Code	Class Titles
<u>8792</u> * - 8793		Deputy Registrar (Contractors II) - Enforcement Rep I
<u>9039</u> - 1589		Sr. Food & Drug Investigator
<u>9231</u> * - 9232		Employ Prog. Tech. - Employ Prog. Asst.
<u>9393</u> - 9392*		Aging Prog. Analyst II - Aging Prog. Analyst I
<u>9414</u> - 9417*		Social Services Consultant II - Social Services Consultant I
<u>9595</u> * - 9594 - 9596		Assoc. Real Estate Off - Real Estate Off - Staff Real Estate Off
<u>9822</u> * - 9810		Voc. Rehab. Trainee - Voc. Rehab. Counselor
<u>9847</u> - 9850*		Staff Psychologist (Clinical) - Psychology Assoc.
<u>9939</u> - 9940*		Social Services Asst. II, Mental Health - Social Services Asst. I, Mental Health

Underlined class codes are "primary" classes which are codes reflected in SCO files (see Section C 305).

Sequence Code used by SCO only, for identification of multiple interchangeable class codes.

* Class codes identified with an asterisk (*) are Schedule 8 classes; i.e., vacant positions appear on Schedule 8 with the indicated class codes, and with salary estimates based on the salary rates of that class.

BLANKETS

Section C 400 INTRODUCTION (Revised 03/05)

A "blanket" position is designated by a position serial number in the "900" series.

Any number of appointments may be made under a blanket position providing the total salary and wage expenditures for the position do not exceed the amount encumbered.

Blankets may be established within a payroll agency for temporary help, seasonal help, overtime, or other special purposes.

SCO no longer processes blanket transactions as of 7/1/93. Reports are generated to assist agencies/campuses in maintaining their blanket funds.

Form STD. 607 received with blanket encumbered/disencumbered on one side and established position adds/deletes on the other side are accepted. Only the established position side of the transaction is processed.

Section C 401: BLANKET EXPENDITURE REPORT (Revised 03/02)

SCO prepares a Blanket Expenditure Report monthly. This report will be prepared at the close of each business month after the first of the following month. The report is available on View Direct.

The first report for a fiscal year is dated August 1 and contains data from the July business month. The final report for a fiscal year is dated July 1 (of the following fiscal year) and contains data from the June business month of the closing fiscal year.

See PPM B 001 for an explanation of the following codes shown on the blanket report:

- Payment Type
- Payment Suffix
- Clearance Type
- Pay Period Type

Blanket Expenditures Report is to aid agencies/campuses in the managing of blanket payments.

The report lists every blanket payment issued in the month reported; i.e., issue date of the second of the previous month through the first of the current month, by fiscal year with the following exceptions:

- Payments issued in non-control agencies
- Disability payments (NDI and IDL)
- Payments with zero gross (no expenditures)

Payments charged to prior fiscal years will appear on this report but will NOT be reflected in the annual blanket balance report as this balance is only for the current fiscal year.

There are two subtotals (payment type total and reporting unit total) in addition to agency/blanket total.

Lump sum payments of overtime, vacation, and sick leave for separations occurring prior to the close of a fiscal year are chargeable to the blanket for the closing fiscal year even though the payments extend into the new fiscal year.

Section C 402: BLANKET BALANCE REPORT (Revised 03/02)

Blanket Balance Report is generated only at the close of the fiscal year and reflects all blanket activity for the fiscal year. This report gives the status of each blanket as of the report date. The report is available on View Direct.

Use this last report for the fiscal year dated July 1 of the next fiscal year to aid in preparation of budget reports.

ANNUAL REPORTS

REFERENCE (Revised 03/20)

SAM 6415, 6418, 6424, 6427, 6429, 6436, 6439, 6448, 6210

Section C 500: INTRODUCTION (Revised 12/13)

Following is the activity concerning the Fiscal Year End related to position control, salaries and wages, etc.

SCO will prepare annual reports as follows:

- Schedule 8/Supplementary Schedule 8
- Schedule 7A/Supplementary Schedule 7A
- Abolished Vacant Position

Section C 501: BLANKET BALANCE REPORT (Revised 06/13)

July 1 of every year, a listing of all blanket activity for the prior fiscal year is produced. Copies of this report are sent to DOF, Internal Management, Agencies/Campuses, and SCO retains one copy. This report is used to aid in the preparation of budget reports.

Section C 502: SCHEDULE 8 (Revised 06/13)

The Schedule 8 Report lists all authorized established positions (filled and unfilled) as of July 1, except those with abolished agency or class codes. Also listed are prior year expenditures by class.

Filled positions contain employee's name and salary information such as salary rate and anniversary date from Employment History. Estimated expenditures for the current and budget year are projected by the employee's salary information for each position.

Vacant positions contain salary information from the pay scale. Estimated expenditures for the current and budget year are projected by the estimated salary information for each position.

If a position has been temporarily disencumbered through the end of the closing fiscal year at the time the Schedule 8 Report is prepared, the temporary position will appear on the Schedule 8 Report as "TERMIN." The termination date will be indicated, expenditures will be shown, but no projections will be made. The position which was temporarily disencumbered will also appear and will reflect projections as well as any expenditures made during the closing fiscal year.

For budget function agencies, the report will be in Budget Function Code order, rather than Reporting Unit Code sequence.

The report will be prepared during July and will reflect reorganizations and Merit Salary Adjustments (MSA) effective July 1.

The report may be delayed due to an unresolved General Salary Increase (GSI). PPSD coordinates with DOF if this occurs. DOF decides when to prepare the Schedule 8/Supplementary Schedule 8 in these instances as well as whether or not to reflect the GSI on the reports.

See PPM Section Z, Attachment C-4 for Special Pay codes used on the Schedule 8/Report. See PPM B 008 for Shift Differential codes and B 036 for Earnings Identifiers used on the Schedule 8 Report.

The Schedule 8 Report is available as a File Transfer Protocol (FTP) file, in addition to the printed report received by all departments. There is a service charge for the FTP file. Departments may request the Schedule 8 by contacting PPSDSACS unit. PPSD will supply record layouts and file descriptions in advance of Schedule 8 production.

Section C 503: SUPPLEMENTARY SCHEDULE 8 REPORT (Revised 06/13)

The Supplementary Schedule 8 Report lists all employees in:

- Unauthorized positions
- Abolished or nonexistent class codes
- Abolished agency codes
- Positions terminated on June 30 of the closing fiscal year
- Positions filled by more than one employee. In this case, one employee will be listed on the Schedule 8 Report and all others will be listed on this report.

Employees and positions listed on this report will not appear on the Schedule 7A Galley Proof, Supplementary Schedule 7A, or Summarization Report by Class/RU(BF).

For budget function agencies, the report will be in Budget Function Code order, rather than Reporting Unit Code sequence.

The report will be prepared during July and will reflect reorganizations and MSA effective July 1.

The report may be delayed due to an unresolved GSI. PPSD coordinates with DOF if this occurs. DOF decides when to prepare the Schedule 8/Supplementary Schedule 8 in these instances as well as whether or not to reflect the GSI.

See PPM Section Z, Attachment C-4 for codes used on the Supplementary Schedule 8 Report.

Section C 504: (Deleted 03/20)

Section C 505: (Deleted 03/20)

REFERENCES

Section C 600: INTRODUCTION (New 03/05)

Included below are the references by which the Position Control and Header processes as well as the Fiscal Year End process is implemented. Please click on a link below for the desired information.

Section C 601: (Deleted 03/20)

Section C 602: SAM SECTION 6527 - BUDGETARY APPROVAL OF POSITION (Revised 05/17)

https://www.documents.dgs.ca.gov/sam/SamPrint/new/sam_master/sam_master_file/chap6000/6527.pdf

Section C 603: SAM SECTION 8531 - ESTABLISHED POSITIONS (Revised 05/17)

https://www.documents.dgs.ca.gov/sam/SamPrint/new/sam_master/sam_master_file/chap8500/8531.pdf

Section C 604: SAM SECTION 8533 - POSITION NUMBERS (Revised 05/17)

https://www.documents.dgs.ca.gov/sam/SamPrint/new/sam_master/sam_master_file/chap8500/8533.pdf