



Controller Malia M. Cohen

California State Controller's Office

Unclaimed Property Division

Standard NAUPA II Electronic File Format Codes & Dormancy Periods

The following tables represent the National Association of Unclaimed Property Administrators (NAUPA) standard codes used by California.
Dormancy periods are listed in years unless otherwise indicated

Property Type Codes

Accounts		Years Dormant	Court Deposits		Years Dormant
AC01	Checking Accounts	3	CT01	Escrow Funds	3
AC02	Savings Accounts	3	CT02	Condemnation Awards	3
AC03	Mature CD or Save Cert	3	CT03	Missing Heirs' Funds	3
AC04	Christmas Club Funds	3	CT04	Suspense Accounts	3
AC05	Money on Deposit to Secure Fund	3	CT05	Other Court Deposits	3
AC06	Security Deposit	3	CT99	Aggregate Court Deposit	3
AC07	Unidentified Deposit	3	Health Savings Accounts		Years Dormant
AC08	Suspense Accounts	3	HS01	Health Savings Account	3
AC99	Aggregate Account Balances	3	HS02	Health Savings Account Investment	3
Checks		Years Dormant	Insurance		Years Dormant
CK01	Cashier's Checks	3	IN01	Individual Policy Benefits or Claim Payments	3
CK02	Certified Checks	3	IN02	Group Policy Benefits or Claim Payments	3
CK03	Registered Checks	3	IN03	Proceeds Due Beneficiaries	3
CK04	Treasurer's Checks	3	IN04	Proceeds from Matured Policies, Endowments, or Annuities	3
CK05	Drafts	3	IN05	Premium Refunds	3
CK06	Warrants	3	IN06	Unidentified Remittances	3
CK07	Money Orders/Electronic Transfers with a Written Instrument	7	IN07	Other Amounts Due Under Policy Terms	3
CK08	Traveler's Checks	15	IN08	Agent Credit Balances	3
CK09	Foreign Exchange Checks	3	IN99	Aggregate Insurance Property	3
CK10	Expense Checks	3	IRAs		Years Dormant
CK11	Pension Checks	3	IR01	IRA (Traditional, SEP, SARSEP, and SIMPLE) Cash	3
CK12	Credit Checks or Memos	3	IR02	IRA (Traditional, SEP, SARSEP, and SIMPLE) Mutual Funds	3
CK13	Vendor Checks	3	IR03	IRA (Traditional, SEP, SARSEP, and SIMPLE) Securities	3
CK14	Checks Written off to Income	3	IR05	Roth IRA Cash	3
CK15	Other Outstanding Official Checks	3	IR06	Roth IRA Mutual Funds	3
CK16	CD Interest Checks	3	IR07	Roth IRA Securities	3
CK99	Aggregate Uncashed Checks	3			
Educational Savings Accounts		Years Dormant			
CS01	Educational Savings Accounts Cash	3			
CS02	Educational Savings Accounts Mutual Funds	3			
CS03	Educational Savings Accounts Securities	3			

Standard NAUPA II

Electronic File Format Codes & Dormancy Periods

(Property Types Continued)

Mineral Interest/Proceeds		Years Dormant	Share-Related		Years Dormant
MI01	Net Revenue Interest	3	SC01	Dividends	3
MI02	Royalties	3	SC02	Interest (Bond Coupons)	3
MI03	Overriding Royalties	3	SC03	Principal Payments	3
MI04	Production Payments	3	SC04	Equity Payments	3
MI05	Working Interest	3	SC05	Profits	3
MI06	Bonuses	3	SC06	Funds Paid to Purchase Shares	3
MI07	Delay Rentals	3	SC07	Funds for Stocks and Bonds	3
MI08	Shut-in Royalties	3	SC08	Shares of Stock (Returned by Post Office)	3
MI09	Minimum Royalties	3	SC09	Cash for Fraction Shares	3
MI99	Aggregate Mineral Proceeds	3	SC10	Un-exchanged Stock of Successor Corp.	3
Miscellaneous		Years Dormant	SC11	Other Certificate of Ownership	3
MS01	Wages, Payroll, Salary	1	SC12	Underlying Shares or Other Outstanding Certificates	3
MS02	Commissions	1	SC13	Funds for Liquidation/Redemption of Un-surrendered Stock or Bonds	3
MS03	Worker's Compensation Benefits	3	SC14	Debentures	3
MS04	Payment for Goods & Services	3	SC15	U.S. Government Securities/Bonds	3
MS05	Customer Overpayments	3	SC16	Mutual Funds Shares	3
MS06	Unidentified Remittances	3	SC17	Warrant (Rights)	3
MS07	Un-refunded Overcharges	3	SC18	Mature Bond Principal	3
MS08	Accounts Payable	3	SC19	Dividend Reinvestment Plans	3
MS09	Credit Balance – Accounts Receivable	3	SC20	Credit Balances	3
MS10	Discounts Due	3	SC50	Municipal Bonds	3
MS11	Refunds Due	3	SC52	Municipal Bond Earnings	3
MS12	Unredeemed Gift Certificates	3	SC54	Shares Exchanged for Shares	3
MS13	Unclaimed Loan Collateral	3	SC56	Units	3
MS14	Pension & Profit Sharing	3	Tangible Property		Years Dormant
MS15	Dissolution or Liquidation	6m	SD01	Safe Deposit Box Contents	3
MS16	Misc. Outstanding Checks	3	SD02	Other Safekeeping	3
MS17	Misc. Intangible Property	3	SD03	Other Tangible Property	3
MS18	Suspense Liabilities	3	Trust Properties		Years Dormant
MS99	Aggregate Misc. Property	3	TR01	Paying Agent Account	3
			TR02	Undelivered or Un-cashed Dividends	3
			TR03	Funds held in Fiduciary Capacity	3
			TR04	Escrow Accounts	3
			TR05	Trust Vouchers	
			TR06	Preneed Funeral Trusts	3
			TR89	Interest on Lawyers' Trust Account (IOLTA)	3
			TR99	Aggregate Trust Property	3

Standard NAUPA II

Electronic File Format Codes & Dormancy Periods

(Continued)

Utilities		Years Dormant
UT01	Utility Deposits	3
UT02	Membership Fees	3
UT03	Refunds or Rebates	3
UT04	Capital Credit Distributions	3
UT99	Aggregate Utilities	3
All Others		Years Dormant
ZZZZ	Properties Not Identified Above	3

Other Codes

Addition Codes	
DV	Dividends Earned
ER	Reported Error on Advertising Report
IN	Interest Earned
ME	Additional Royalty or other Mineral Interest Payments
SP	Stock Split

Deduction and Withholding Codes	
SW	Service Charge
IW	Interest Withheld or Discontinued
TW	Income Tax Withheld
DW	Dividends Withheld or Discontinued
MC	Mailing Cost

Paid or Deletion Codes	
RO	Returned to Owner
ER	Reported Error on Advertising Report
RS	Reverse Stock Split

Owner Type Codes	
OT	All Owners except Aggregate or Unknown
UN	Unknown Owner (No Name Available)
AG	Aggregate Owner
DP	Disputed Owner

Safekeeping Item Codes

Safekeeping Items	
BOND	Savings Bond
BRAC	Bracelet
CHRM	Charm
CNBK	US Coin Books
COIN	US Coins
COMM	Commemorative Items
CSET	US Coin Sets
CTFT	Counterfeit Items
CUFF	Cufflink
EARR	Earrings
FLAT	Flatware/Serving Pieces
FMIL	Foreign Military Items
FOBK	Foreign Coin Books/Sets
FOCO	Foreign Coins
FOCU	Foreign Currency
FOGC	Foreign Gold Coins
FOPC	Foreign Platinum Coin Sets
GBAR	Gold Bar
GEMS	Gem Stones – Loose
GOCO	Gold, Silver, Platinum Coins
HM	Hazardous Materials
JEWL	Jewelry – Other
LDOC	No-Value Legal Documents
MED	No-Value Media (Photos, CDs, Videos)
MIL	US Military Items
MISC	Miscellaneous Items of Value
MPAP	No-Value Miscellaneous Papers
NECK	Necklace
NVOT	No-Value Other Tangibles (Misc.)
ODOC	No-Value Other Documents
PCOL	Paper Collectibles/Books/Etc.
PIN	Pin
RING	Ring
SBAR	Silver or Platinum Bars
SPRT	Sports Memorabilia
STCK	Stock Certificates
STMP	Stamps
TCC	Travelers/Cashier's Checks
TIME	Time Piece
USCU	US Currency – All
USGC	US Gold Coins
USGS	US Gold Sets
USPC	US Platinum Coin Sets
VS	No-Value Vital Statistics
WCOL	Collectible Weapons

Standard NAUPA II

Electronic File Format Codes & Dormancy Codes

(Continued)

Relationship Type Codes	
SO	Sole Owner
AD	Administrator
AF	Attorney For
AG	Agent For
AN	And
BF	Beneficiary
DP	Disputed Funds
OR	Or
AO	And/or
CC	Co-Conservator
CF	Custodian For
CN	Conservator
FB	For Benefit Of
IN	Insured
TE	As Trustee For
EX	Executor or Executrix
JT	Joint Tenants with Rights of Survivorship
PA	Payee
PO	Power of Attorney
RE	Remitter
UG	Uniform Gift to Minors Act (UGMA)
GR	Guardian For

Security Type Codes	
DTC	Direct Transfer
PHYSICAL	Physical Certificate Delivery
ACCOUNT	Registration of Account Only
UNT	Securities Not Transferrable